

CENTRAL MAIL ROOM

JAN 06 2006

PTO/SB/31 (04-03)

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| <b>NOTICE OF APPEAL FROM THE EXAMINER TO<br/>THE BOARD OF PATENT APPEALS AND INTERFERENCES</b>  |  | Docket Number (Optional)<br><br>1597-1070                |                                    |
| I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" [37 CFR 1.8(a)]<br>on <u>01/06/2006</u><br>Signature <u>Mitchell P. Novick</u><br>Typed or printed name <u>Mitchell P. Novick</u> |  | In re Application of<br><u>ROSENDORF, Charles Hillel</u> |                                    |
|   |  | Application Number<br><u>09/848,191</u>                  | Filed<br><u>05/03/2001</u>         |
|   |  | For Securities analysis method and ...                   |                                    |
|   |  | Art Unit<br><u>3624</u>                                  | Examiner<br><u>HAVAN, Thu Thao</u> |
| Applicant hereby appeals to the Board of Patent Appeals and Interferences from the last decision of the examiner.   |  |  |                                    |
| The fee for this Notice of Appeal is (37 CFR 41.20(b)(1))   |  | \$ _____   |                                    |
| <input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. Therefore, the fee shown above is reduced by half, and the resulting fee is:   |  | \$ <u>250.00</u>   |                                    |
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| I am the  |  |  |                                    |
| <input type="checkbox"/> applicant/inventor.  |  | <u>Mitchell P. Novick</u><br>Signature                   |                                    |
| <input type="checkbox"/> assignee of record of the entire interest.<br>See 37 CFR 3.71. Statement under 37 CFR 3.73(b) is enclosed.<br>(Form PTO/SB/96)   |  | <u>Mitchell P. Novick, Esq.</u><br>Typed or printed name |                                    |
| <input checked="" type="checkbox"/> attorney or agent of record.<br>Registration number <u>30,305</u>   |  | <u>973-744-5150</u><br>Telephone number                  |                                    |
| <input type="checkbox"/> attorney or agent acting under 37 CFR 1.34.<br>Registration number if acting under 37 CFR 1.34. _____  |  | <u>01/06/2006</u><br>Date                                |                                    |
| NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required.<br>Submit multiple forms if more than one signature is required, see below.  |  |  |                                    |
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